

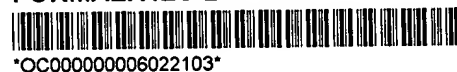
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/771,733	01/29/2001	Masaki Ohira	807-007

CONFIRMATION NO. 1191

## FORMALITIES LETTER



\*OC00000006022103\*

SOFER & HAROUN, L.L.P.  
342 Madison Avenue, Suite 1921  
New York, NY 10173

Date Mailed: 04/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$530.
  - \$450 for 25 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1370.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/14/2001 UWN11 00000014 09771733

01 FC:101	710.00	00	00	00	00
02 FC:102	80.00	00	00	00	00
03 FC:103	450.00	00	00	00	00
04 FC:105	130.00	00	00	00	00



Attorney Docket No.: 807-007

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X  
In re Application of :  
Ohira et al. :  
Serial No.: 09/771,733 :  
 :  
Filed: January 29, 2001 :  
 :  
For: METHOD FOR ENCODING/DECODING ERROR :  
CORRECTING CODE, TRANSMITTING.... :  
-----X

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R:

Enclosed with this Response to Notice to File Missing Parts please find two checks in the amount of \$1370.00 and \$40.00 (respectively for the basic application filing fee, surcharge, and assignment) along with the Declaration, Assignment and Assignment Recordation Sheet. A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Any additional fees required in connection with this application may be charged to Deposit Account No. 19-2825.

Respectfully submitted,  
SOFER & HAROLD LLP

By: \_\_\_\_\_

Thomas C. Hughes  
Reg. No. 42,674  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
(212) 697-2800

Dated: May 7, 2001



Docket No. 807-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ohira  
Serial No. : 09/771,733 Examiner: TBA  
Filed : January 29, 2001  
For : A METHOD FOR ENCODING/DECODING ERROR CORRECTING  
CODE, TRANSMITTING APPARATUS AND NETWORK

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division  
Customer Correction Branch  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Enclosed, please find a copy of the Filing Receipt which does not indicate the correct cities of the three inventors. The correct city of the three inventors is **Yokohama, Japan**, not Tokyo, Japan.

Please make the corrections accordingly.

Respectfully submitted,  
SOFER & HAROUN, LLP.

Thomas C. Hughes  
Reg. No. 42,674

Date: May 7, 2001

Mailing Address:  
SOFER & HAROUN, L.L.P.  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
Tel:(212)697-2800



*sector*

Docket No. 807-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ohira et al.  
Serial No. : 09/771,733  
Filed : January 29, 2001  
For : A METHOD FOR ENCODING/DECODING ERROR CORRECTING CODE...

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

I hereby certify that the attached Response to Notice to File Missing Parts, Notice to File Missing Parts, Request for Corrected Filing Receipt, Copy of Incorrect Filing Receipt, Assignment, Assignment Recordation Sheet, two checks for \$1,370.00 and \$40.00, Declaration, and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

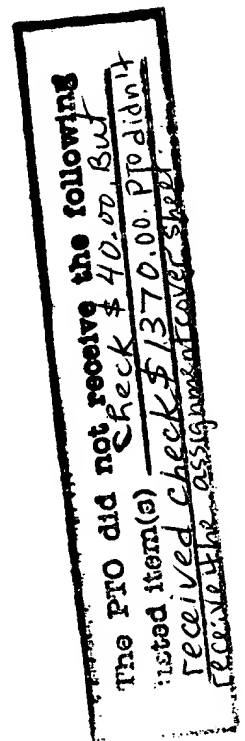
By: *Ester Aronova*

Ester Aronova

Date: 5/7/01

Mailing Address:

SOFER & HAROUN, L.L.P.  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
Tel:(212)697-2800  
Fax:(212)697-3004





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/771,733	01/29/2001	2132	0.00	807-007	22	45	4

CONFIRMATION NO. 1191

## FILING RECEIPT



\*OC000000006022102\*

SOFER & HAROUN, L.L.P.  
 342 Madison Avenue, Suite 1921  
 New York, NY 10173



Date Mailed: 04/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masaki Ohira, Tokyo, JAPAN;  
 Masahiro Takatori, Tokyo, JAPAN;  
 Takashi Mori, Tokyo, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-179377 06/09/2000

If Required, Foreign Filing License Granted 04/30/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Method for encoding/decoding error correcting code, transmitting apparatus and network

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to: